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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

153

Application Number

10/536,708

Filing Date

5/27/2005

First Named Inventor

Horvatic, et al.

Art Unit

Examiner Name

Attorney Docket Number

fraunh01.051

**ENCLOSURES (Check all that apply)**

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/  
Incomplete ApplicationReply to Missing Parts  
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a  
Provisional Application  
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Terminal Disclaimer



Request for Refund



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Landscape Table on CD



After Allowance Communication to TC

Appeal Communication to Board  
of Appeals and InterferencesAppeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify  
below):

Return Postcard

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Gordon E. Nelson, Patent Attorney, PC

Signature

Printed name

Gordon E. Nelson

Date

10/3/2005

Reg. No.

30,093

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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
(fraunh01.051)

**Applicant:** Horvatic, et al.

**Paper No.:**

**Application No:** 10/536,708

**Group Art Unit:**

**Filed:** 5/27/2005

**Examiner:**

**Title:** *Watermarking digital representations that have undergone lossy compression*

.....

Commissioner for Patents  
Alexandria, VA 22313-1450

**Certification under 37 C.F.R. 1.97(b)**

Applicants' attorney hereby certifies that the items of information contained in the attached information disclosure is being filed in the above patent application within one of the following time periods:

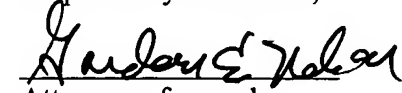
\_\_\_\_ (1) Within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d);

\_\_\_\_ (2) Within three months of the date of entry of the national stage as set forth in §1.491 in an international application;

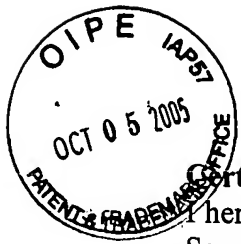
X  (3) Before the mailing of a first Office action on the merits; or

\_\_\_\_ (4) Before the mailing of a first Office action after the filing of a request for continued examination under §1.114.

Respectfully submitted,

  
Attorney of record,  
Gordon E. Nelson  
57 Central St., P.O. Box 782  
Rowley, MA, 01969,  
Registration number 30,093  
Voice: (978) 948-7632  
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October 3, 2005  
Date



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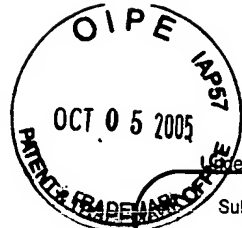
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

2

**Complete if Known**

Application Number 10/536,708

Filing Date 5/27/05

First Named Inventor Horvatic, et al.

Art Unit

Examiner Name

Attorney Docket Number fraunh01.051

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 5,809,139	09/15/1998	GIROD et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

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Institute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet

2

of

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Filing Date

5/27/05

First Named Inventor

Horvatic, et al.

Art Unit

Examiner Name

Attorney Docket Number

fraunh01.051

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		CHANGSHENG ZU, YONGWEI ZHU, DAVID DAGAN FENG, "Digital Audio Watermarking Based-on Multiple-Bit Hopping and Human Auditory System," <a href="http://www.acm.org/sigmm/mm2001/ep/xu/">http://www.acm.org/sigmm/mm2001/ep/xu/</a> [11/18/2003]	
		D. K. KOUKOPOULOS and Y. C. STAMATIOU, "A Compressed-Domain Watermarking Algorithm for Mpeg Audio Layer 3," Computer Technology Institute and Patras University, 2001	
		SUNIL MOHAN ADAPA, "Useful information embedding in images using watermarks," <a href="http://students.iiit.net/~mohan/watermarking.html">http://students.iiit.net/~mohan/watermarking.html</a> [11/21/2003]	
		NGERMAR J. COX, JOE KILIAN, TOM LEIGHTON, AND TALAL SHAMOON, "Secure Spread Spectrum Watermarking for Multimedia", (c) NEC Research Institute, Technical Report 95-10.	
		MUNETOSHI IWAKIRI, KINEO MATSUI, "Digital Watermark Scheme for High Quality Audio Data by Spectrum Spreading and Modified Discrete Cosine Transform," IPSJ Journal Abstract Vo. 39, No.09-009 <a href="http://www.ipsj.or.jp/members/Journal/Eng/2909/article009.html">http://www.ipsj.or.jp/members/Journal/Eng/2909/article009.html</a> [11/21/2003]	
		HERBERT BUCHNER, "Image and Video Watermarking," Telecommunications Seminar WS 1998	
		FRANK HARTUNG, "Multimedia Watermarking Techniques," Proceedings of the IEEE, Vol. 87, No.7, July 1999	

Examiner  
SignatureDate  
Considered

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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